



Sector #

042390.P11004

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
                        ) )  
                        Everett X. Wang et al. ) )  
                        ) )  
Serial No.: 09/814,425 ) )  
                        ) )  
Filed: March 21, 2001 ) )  
                        ) )  
For: FABRICATION OF OPTICAL WAVEGUIDES ) )  
     FOR REDUCTION OF MINIMUM WAVEGUIDE ) )  
     SPACING                                      )

Box Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed May 3, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e). Note that the Notice to File Missing Parts is in error. The Applicant originally filed \$728.00 to cover payment for 21 claims, although only 20 claims were presented;
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Transmittal for Formal Drawings; and
- (5) Formal Drawings.

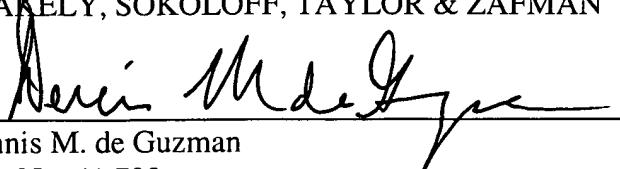
(6) Preliminary Amendment

If any additional fee is required, please charge Deposit Account No. 02-2666. A **duplicate of this Response is enclosed for deposit account charging purposes.**

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 6/26/01

  
\_\_\_\_\_  
Dennis M. de Guzman  
Reg. No. 41,702

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(425) 827-8600

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on June 26, 2001.

Melanie Besecker 06/26/01  
Melanie Besecker Date



Docket No.: 005217.P029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **TRANSMITTAL OF FORMAL DRAWINGS**

**Box Missing Parts**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith for filing in the above-identified U.S. patent application are the formal drawings, Figures, 1, 2, 3, 4, 5, 6, 7, 8 and 9 (2) sheets.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 6/26/01

Dennis M. de Guzman  
Reg. No. 41,702

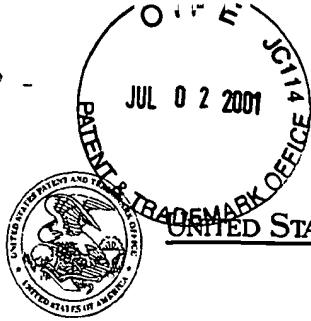
12400 Wilshire Boulevard  
Seventh Floor  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents and Washington, D.C. 20231, on June 26, 2001 by

**Melanie Besecker**

typed or printed name of person mailing correspondence

Melanie Beseker 6-26-01  
Signature Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,425	03/21/2001	Everett X. Wang	42390.P11004

**CONFIRMATION NO. 4061**  
**FORMALITIES LETTER**



\*OC000000006038714\*

Dennis M. de Guzman  
BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 05/03/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 148.

> Note: Applicant paid for 21 claims originally (20 claims were originally filed). Dennis de Guzman

*S. Guzman*  
A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE